SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Title of Security	()	Table I - Nor	1-Derivative \$	Securities Acqu	uired, Disp	osed of, or Bene 4. Securities Acquired (/		Owned	6. Ownership	7. Nature
Check this box to indicate that a transaction was made pursuant to a contract, instruction or written pl satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.								en plan that is inf	tended to	
(City)	(State)	(Zip)	Ru	e 10b5-1(c)	Transact	ion Indication				
(Street) CARLSBAD	CA	92009					X	Form filed by On Form filed by Mo Person		
6155 EL CAM	INO REAL		4. If <i>i</i>	Amendment, Date of	Original Filed	(Month/Day/Year)	6. Indiv Line)	vidual or Joint/Grou	p Filing (Check	Applicable
(Last)	(First)	(Middle)		te of Earliest Transa 3/2023	ction (Month/	Day/Year)		Officer (give title below)	Other below	(specify /)
1. Name and Addre		g Person [*]		uer Name and Ticke ASAT INC [V	0	Symbol		tionship of Reporti all applicable) Director	0 ()	Issuer Owner

1. Little of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)						5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
\$.0001 par value common stock	11/13/2023	G ⁽¹⁾		1,600	D	\$0.00	0	D	
\$.0001 par value common stock	11/13/2023	G		1,600	Α	\$0.00	25,800	Ι	By Trust

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(oigi, puto, ouno, maranto, optiono, conventible coountico)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instr	Derivative (Month/Day/Year) Securities Acquired A) or Disposed		Expiration Date Amount of			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These shares were gifted to The Pietje 2012 Gift Trust.

Remarks:

<u>Stacy Nguyen, Attorney-in-</u> <u>Fact</u>

11/14/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.