## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addrese Duffy Shawn	1 0	son <sup>*</sup>		uer Name <b>and</b> Ticke SAT INC [ VS	0	ymbol	(Check	tionship of Reportin all applicable) Director	Dwner			
(Last) 6155 EL CAMII	(First) NO REAL	(Middle)		e of Earliest Transa 7/2021	ction (Month/E	Day/Year)	X	Officer (give title below) Chief Fina	below ncial Officer	(specify )		
(Street) CARLSBAD (City)	CA (State)	92009 (Zip)	4. If A	mendment, Date of	Original Filed	(Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Form filed by One Form filed by Mor Person	e Reporting Pers	on		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
Date			2. Transaction Date (Month/Day/Year	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4			6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		

	(Month/Day/Year)	if any (Month/Day/Year)	ay/Year) Code (Instr. 8) Code V					Beneficially Owned Following	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(1150.4)	
\$.0001 par value common stock	11/17/2021		М		6,851	A	\$0.00	47,036(1)	D		
\$.0001 par value common stock	11/17/2021		F <sup>(2)</sup>		3,608	D	\$53.43	43,428	D		
\$.0001 par value common stock								<b>2,208</b> <sup>(3)</sup>	Ι	By 401(k)	

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 6. Date Exercisable and Expiration Date (Month/Day/Year) 6. Date Exercisable and Expiration Date (Month/Day/Year)		e Amount of		8. Price of Derivative Security (Instr. 5)	Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
restricted stock unit	(4)	11/17/2021		A		18,678		(5)	(6)	common stock	18,678	\$0.00	18,678	D	
restricted stock unit	\$0.00	11/17/2021		М			2,500	(7)	(6)	common stock	2,500	\$0.00	0	D	
restricted stock unit	\$0.00	11/17/2021		М			4,351	(8)	(6)	common stock	4,351	\$0.00	8,701	D	

Explanation of Responses:

1. Includes 223 shares purchased under the Viasat Employee Stock Purchase Plan on December 31, 2020 and 167 shares purchased on June 30, 2020.

2. This entry represents the number of shares of Viasat, Inc. common stock withheld by the Issuer to satisfy the tax withholding obligation of the Reporting Person. These shares were not sold by the Reporting Person but were instead offset from the total number of vested shares received by the Reporting Person from the Issuer.

3. Includes 170 shares of common stock the reporting person acquired under the Viasat 401(k)Plan, since the date of the reporting person's last ownership report.

4. Each restricted stock unit represents a contingent right to receive one share of Viasat, Inc. common stock.

5. Subject to the Reporting Person's election to defer the receipt of common stock, the units vest and convert into shares of common stock over a four year period commencing on 12/17/2022 and ending on 11/17/2025.

6. Until vested, the restricted stock unit shall be subject to forfeiture in the event of termination of employment or service with the Issuer.

7. The original restricted stock unit grant was for 10,000 restricted stock units on 11/17/2017. Subject to the reporting persons election to defer the receipt of the common stock, the units vest and convert into shares of common stock (on a 1 for 1 basis) at the rate of 1/4 on the 13th month anniversary of the grant date; 1/4 on the second anniversary of the grant date; 1/4 on the third anniversary and 1/4 on the fourth anniversary of the grant date.

8. The original deferred restricted stock unit grant was for 17,403 restricted stock units on 11/15/2019. Subject to the Reporting Person's election to defer the receipt of common stock, the units vest and convert into shares of common stock of the Issuer at a rate of 1/4 on the 13th month anniversary of the grant date; 1/4th on the second anniversary of the grant date; 1/4th on the third anniversary of the grant date and 1/4 on the fourth anniversary of the grant date.

#### Remarks:

Kathleen K. Hollenbeck,

Attorney-in-Fact

Date

11/19/2021

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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